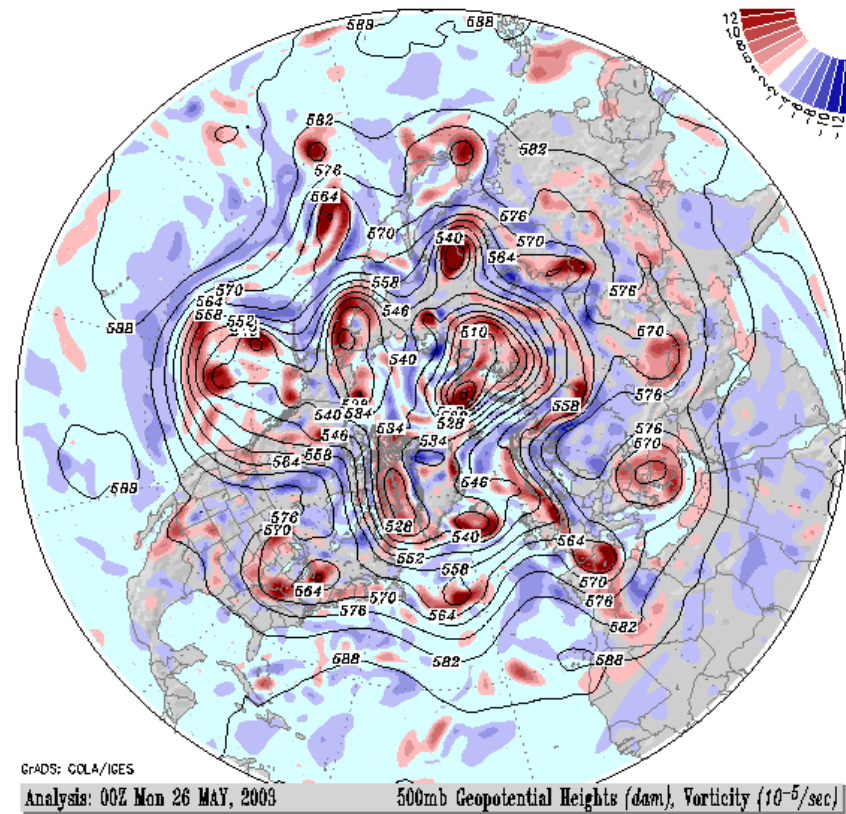


# Vortex Dynamics on the Tropopause

- ▷ vorticity dynamics for rotating, stratified flow
- ▷ symmetry-breaking in the atmosphere

- ▷ Dave Muraki  
Simon Fraser University
- ▷ Greg Hakim  
University of Washington
- ▷ Chris Snyder  
NCAR Boulder

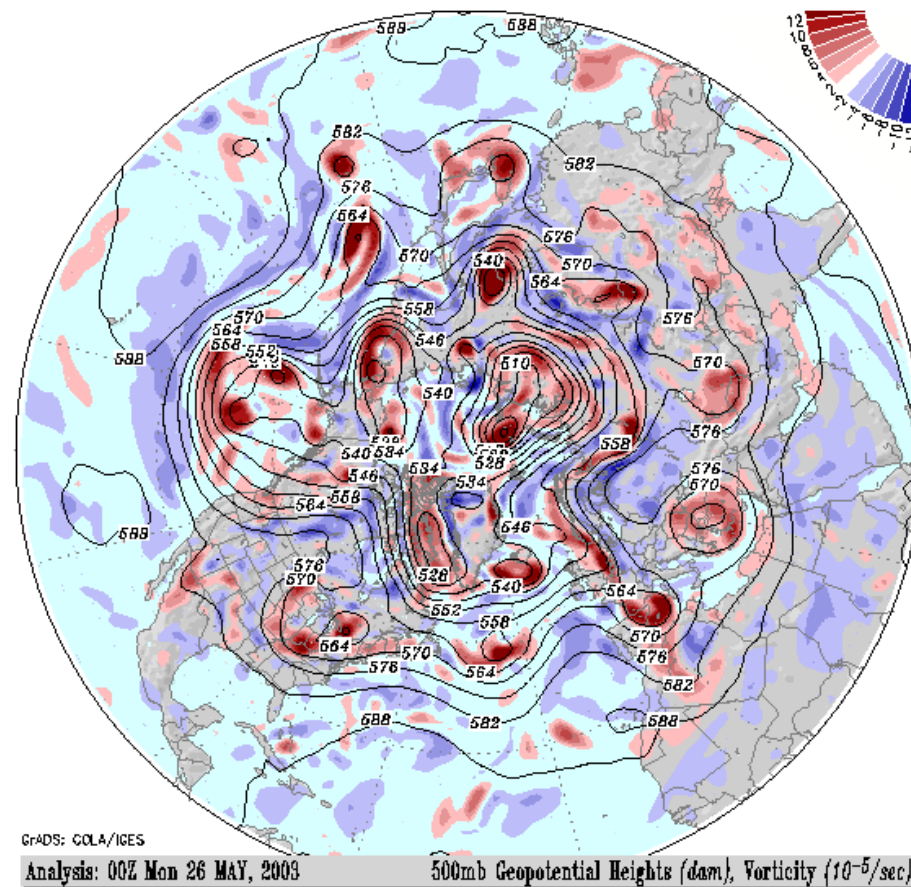


<http://grads.iges.org/pix/hemi1.00hr.gif>

# Organization of Vorticity in the Midlatitude Atmosphere

## Asymmetric Dynamics of Midlatitude Vortices

- ▷ localized, intense cyclones (low pressure) versus broad, weak anticyclones (high pressure)
- ▷ contours of geopotential are streamlines → midlatitude jetstream



<http://grads.iges.org/pix/hemi1.00hr.gif>

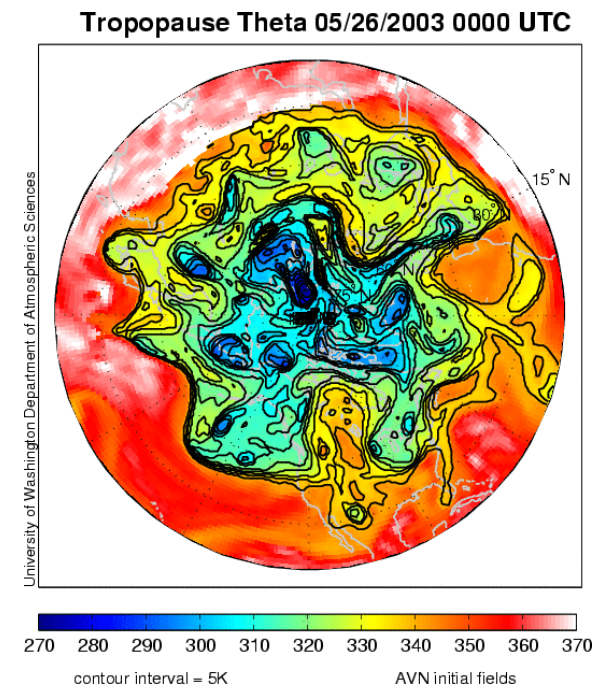
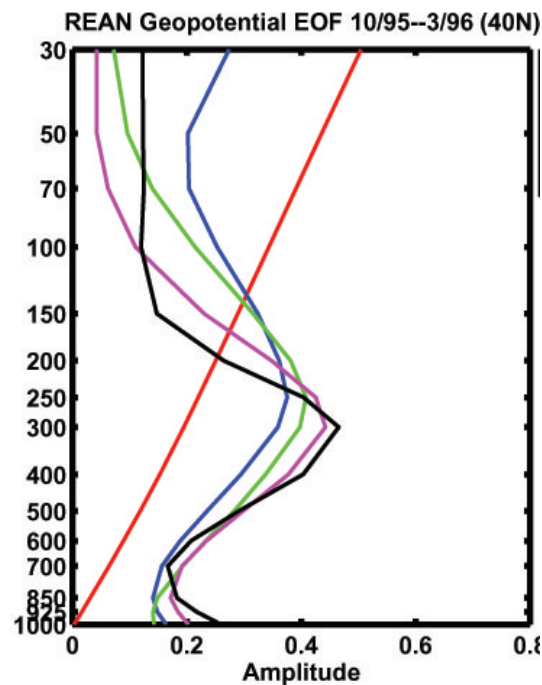
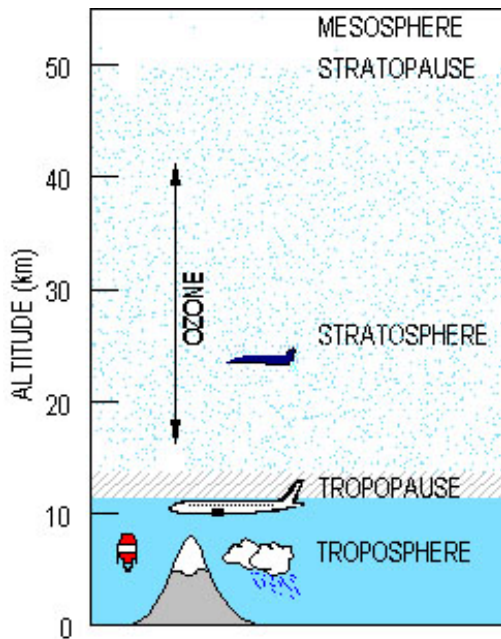
# Symmetry-Breaking & Atmospheric Structure

What is the mechanism behind the observed asymmetry of **cyclones** & **anticyclones**?

- ▷ understanding our 3D atmosphere in terms of a 2D dynamics?

## Tropopause-Based Dynamics

- ▷ troposphere: lowest, weather-containing layer of the atmosphere ( $\approx 0 - 10\text{km}$ )
- ▷ **tropopause**: troposphere/stratosphere interface  $\rightarrow$  **organizing level for dynamics?**



# Vorticity Dynamics

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## 2D Euler: Vorticity & Streamfunction

- ▷ 2D flow gives advection of vorticity,  $\zeta(x, y; t)$ :

$$\frac{D\zeta}{Dt} = \zeta_t + u\zeta_x + v\zeta_y = 0 \quad ; \quad \nabla^2\Phi = \zeta$$

- ▷ velocity streamfunction from 2D vorticity inversion:

$$u = -\Phi_y \quad ; \quad v = \Phi_x$$

## 3D Quasigeostrophy: Potential Vorticity (PV) & QG Streamfunction

- ▷ QG approximation gives horizontal advection of PV,  $q(x, y, z; t)$ :

$$\frac{Dq}{Dt} = q_t + uq_x + vq_y = 0 \quad ; \quad \nabla^2\Phi = q$$

- ▷ QG streamfunction from 3D PV inversion:

$$u = -\Phi_y \quad ; \quad v = \Phi_x$$

→ horizontally non-divergent flow

- ▷ thermodynamic variable: potential temperature,  $\theta = \Phi_z$

- ▷ QG approximation: zero Rossby number limit of rotating, stratified flow

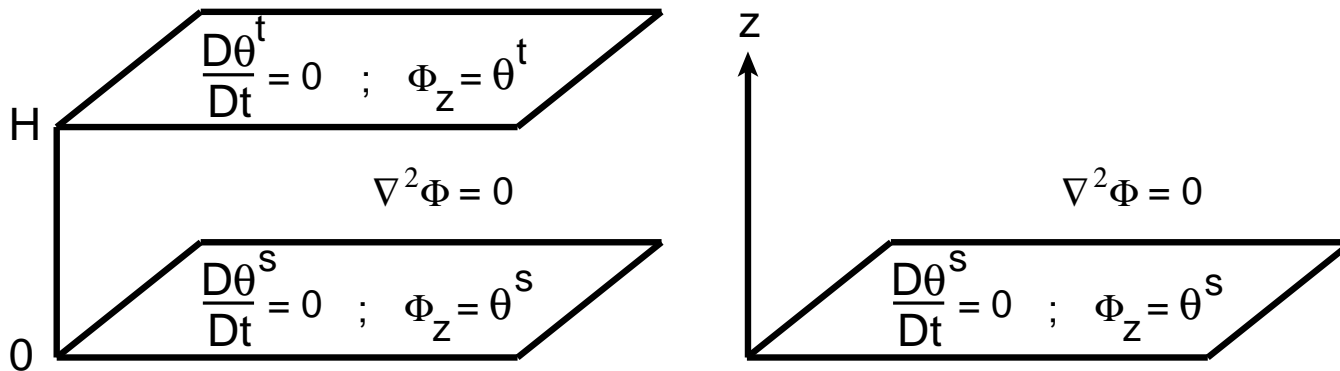
$$\mathcal{R} = U/fL \quad \text{where } f \text{ is the Coriolis frequency}$$

# Zero PV Surface Dynamics

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Well-Mixed Troposphere Assumption  $\Rightarrow q \equiv 0$

- ▷ QG streamfunction determined by surface/tropopause BCs



## Surface Quasigeostrophy (sQG)

- ▷ semi-infinite fluid ( $z \geq 0$ ), periodic in  $x, y$ , decay as  $z \rightarrow +\infty$
- ▷ 3D inversion of zero PV:

$$\nabla^2 \Phi = 0 \quad ; \quad \Phi_z(z=0) = \theta^s \quad ; \quad \Phi(z \rightarrow +\infty) = 0$$

- ▷ 2D advection of surface potential temperature,  $\theta^s$ :

$$\frac{D\theta^s}{Dt} = \theta_t^s + u \theta_x^s + v \theta_y^s = 0 \quad ; \quad u = -\Phi_y(z=0) \quad ; \quad v = \Phi_x(z=0)$$

- ▷ sQG *interface* as model for tropopause: Rivest, et.al. (1992); Jukes (1994)

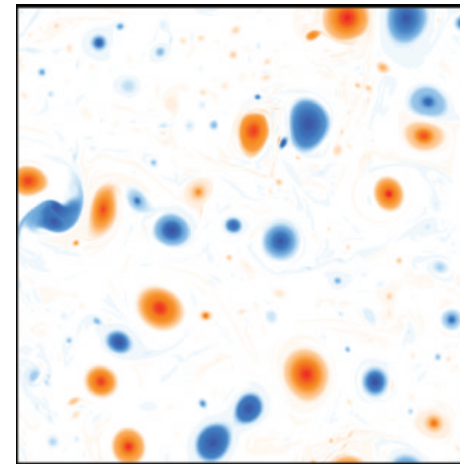
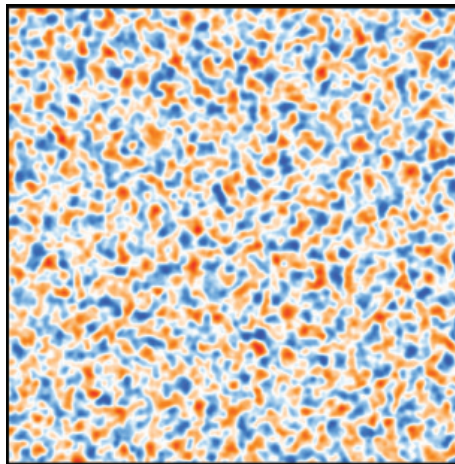
# A Question of Asymmetry

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## Geostrophic Turbulence: unforced, decaying vortex dynamics

- ▷ surface QG  $\Rightarrow$  symmetric

Pierrehumbert, et.al. (1994); Held, Pierrehumbert, Garner & Swanson (1995)



- ▷ 2D shallow water  $\Rightarrow$  **weak anticyclonic** bias at small Rossby number  
Polvani, McWilliams, Spall & Ford (1994)
- ▷ 3D periodic balance equations  $\Rightarrow$  **weak anticyclonic** bias at small Rossby number  
Yavneh, Shchepetkin, McWilliams & Graves (1997)
- ▷ idealized rotating, stratified model which includes **cyclone** intensification?



# Primitive Equations (PE) for Rotating, Stratified Flow

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Rotating ( $f$ -plane), Stratified (stable), Boussinesq Buoyancy, Hydrostatic

$$\begin{aligned}u_x + v_y + \mathcal{R} w_z &= 0 \\ \mathcal{R} \left\{ \frac{Du}{Dt} \right\} - v &= -\phi_x \\ \mathcal{R} \left\{ \frac{Dv}{Dt} \right\} + u &= -\phi_y \\ \delta^2 \left\{ \frac{Dw}{Dt} \right\} - \theta &= -\phi_z \\ \left\{ \frac{D\theta}{Dt} \right\} + w &= 0\end{aligned}$$

- ▷ potential temperature:  $\theta$  (cold = heavy ; warm = light)
- ▷ geopotential:  $\phi$  (pressure)
- ▷ advection:  $\frac{D}{Dt} = \frac{\partial}{\partial t} + u \frac{\partial}{\partial x} + v \frac{\partial}{\partial y} + \mathcal{R} w \frac{\partial}{\partial z}$
- ▷ 3D quasigeostrophy is zero Rossby number ( $\mathcal{R} \rightarrow 0$ ) limit of the PE

# Small Rossby Number Corrections

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## Next-Order Inversion

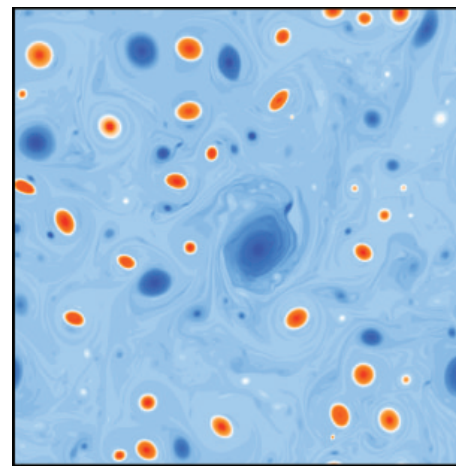
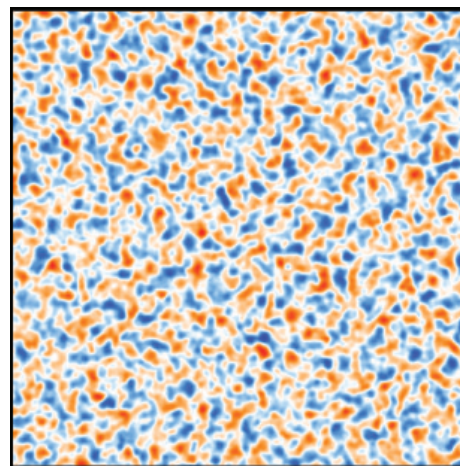
- ▷ small- $\mathcal{R}$  degenerate perturbation theory, resolve using Helmholtz representation

$$\begin{aligned}v &= \Phi_x - G_z \\ -u &= \Phi_y + F_z \\ \theta &= \Phi_z + G_x - F_y \\ \mathcal{R} w &= F_x + G_y\end{aligned}$$

- ▷ sequence of elliptic solves for:  $\Phi \sim \Phi^0 + \mathcal{R}\Phi^1$  ;  $F \sim \mathcal{R}F^1$  ;  $G \sim \mathcal{R}G^1$

## Asymmetric Organization of Vortices

- ▷ freely-decaying turbulence from random, symmetric initial conditions; Hakim, Snyder, djm (2002)

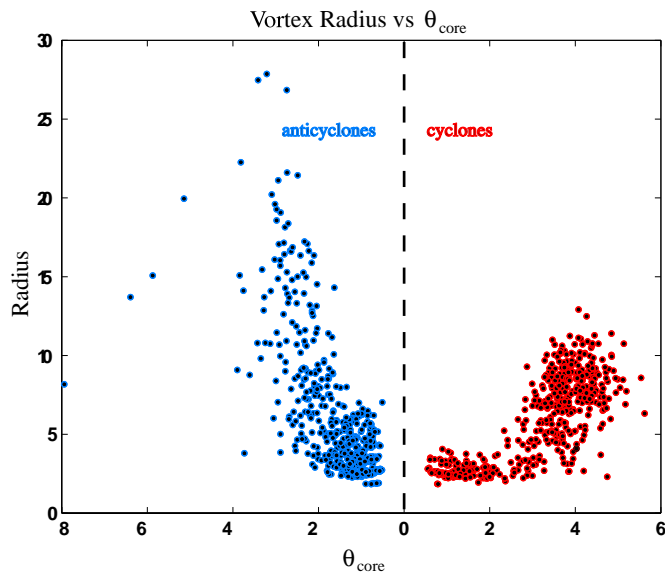




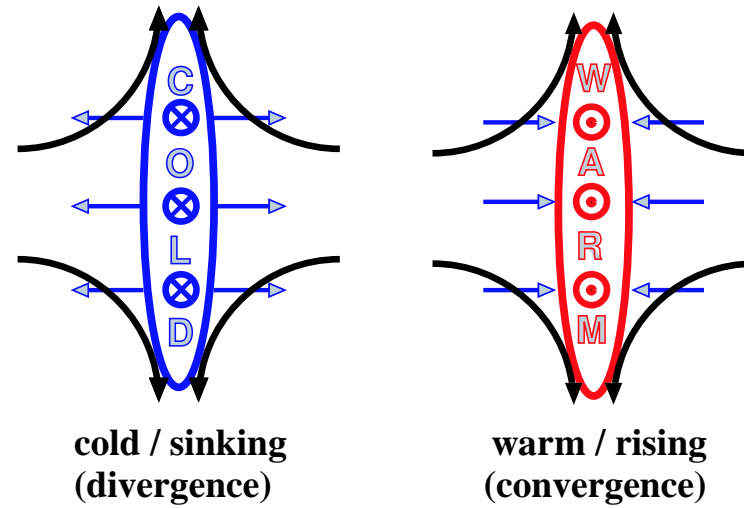
# Mechanics of Vortex Asymmetry

## Vortex Statistics

- ▷ scatterplot of strength versus size of **cyclones** & **anticyclones**



## FILAMENTS IN STRAIN: ASYMMETRY



## Asymmetry from Horizontally Divergent Flow

- ▷ frontogenesis: steeper-edged **cyclones**, discourages merger & filamentation
- ▷ frontolysis: broadly-spread **anticyclones**, encourages merger & filamentation
- ▷ surface cooling of mean  $\theta$ : relative strengthening of **cyclones**
- ▷ filament roll-up instability: **anticyclonic bias**

# Conclusions & Future Directions

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## Finite-Rossby Number Mechanisms for Asymmetry

- ▷  $O(\mathcal{R})$  horizontally divergent flow & implied vertical motion
  - divergent flow events recently observed in tropopause data; Hakim
- ▷ vortex stretching relative to a surface
  - not present in 3D-periodic dynamics
- ▷ net surface cooling
  - shallow water dynamics preserve center of mass

## Applications of Zero PV Surface Dynamics

- ▷ advection dynamics & elliptic inversions are computationally 2D
  - finite-depth effects: recovers 2D vorticity dynamics at largest scales
  - dynamic tropopause interface: comparison with tropopause observations
  - free-surface boundary condition: does it more strongly recover shallow water dynamics?
  - 2-surface dynamics: includes asymmetric baroclinic instability
  - random forcing of sQG: emergence of vortices in the absence of jets

# QG+ Reformulation

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## Exact Reformulation of PE

- ▷ three-potential representation:  $\Phi, F, G$

$$v = \Phi_x - G_z$$

$$-u = \Phi_y + F_z$$

$$\theta = \Phi_z + G_x - F_y$$

$$\mathcal{R} w = F_x + G_y$$

- ▷ potential inversions

$$\nabla^2 \Phi = q - \mathcal{R} \left\{ \nabla \cdot \left[ \theta (\nabla \times \bar{\mathbf{u}}_H) \right] \right\}$$

$$\nabla^2 F = \mathcal{R} \left\{ - \left( \frac{D\theta}{Dt} \right)_x + \left( \frac{Dv}{Dt} \right)_z \right\}$$

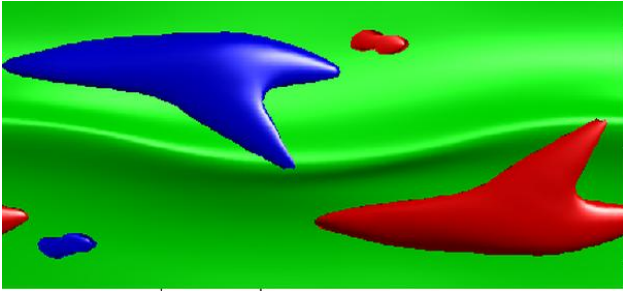
$$\nabla^2 G = \mathcal{R} \left\{ - \left( \frac{D\theta}{Dt} \right)_y - \left( \frac{Du}{Dt} \right)_z \right\}$$

- ▷ surface boundary conditions

$$\mathcal{R} w^s = (F_x + G_y)^s \quad ; \quad \theta^s = (\Phi_z + G_x - F_y)^s$$

- ▷ advection dynamics (interior & surface)

$$\frac{Dq}{Dt} = 0 \quad ; \quad \frac{D\theta^s}{Dt} + w^s = 0$$



## Turbulent Dynamics in Geophysical Flows

### Dynamics in a World Driven by Turbulent Diffusion

- ▷ Esteban Tabak, New York University

### Generation of Large-Scale Jets, Vortices & Layers

from Near-Resonant Interactions of Fast & Slow Waves

- ▷ Leslie Smith, University of Wisconsin

### The Coherence of Turbulence

- ▷ Fabian Waleffe, University of Wisconsin

### Vortex Dynamics on the Tropopause

- ▷ Dave Muraki, Simon Fraser University

