

Discrete Spectrum of the Rotating Shallow Water Eigenproblem

Kevin A Mitchell, David J Muraki

Simon Fraser University Dept. of Mathematics



Overview

- Singular eigenvalue problems: discrete/continuous spectrum
- Atmospheric fluid dynamics: rotating shallow water (RSW)
- Equatorial wave propagation: large-scales penetrate, small-scales absorbed
- My contribution (Numerics, WKB)
 - Infinite number of discrete modes
 - How "large" for equatorial penetration

Singular eigenvalue problems

- Standard eigenvalue problems have a discrete spectrum (countably infinite modes)
- Singular points can also generate a continuous spectrum (uncountably infinite modes)
- Example: 2d Euler (Couette flow) [2, 4]

$$\left(y \frac{\partial}{\partial x} + \frac{\partial}{\partial t}\right) \nabla^2 \Psi(x, y, t) = 0$$

$$\Psi(x, 0, t) = \Psi(x, 1, t) = 0$$

- No discrete spectrum solutions
- Continuous spectrum solutions

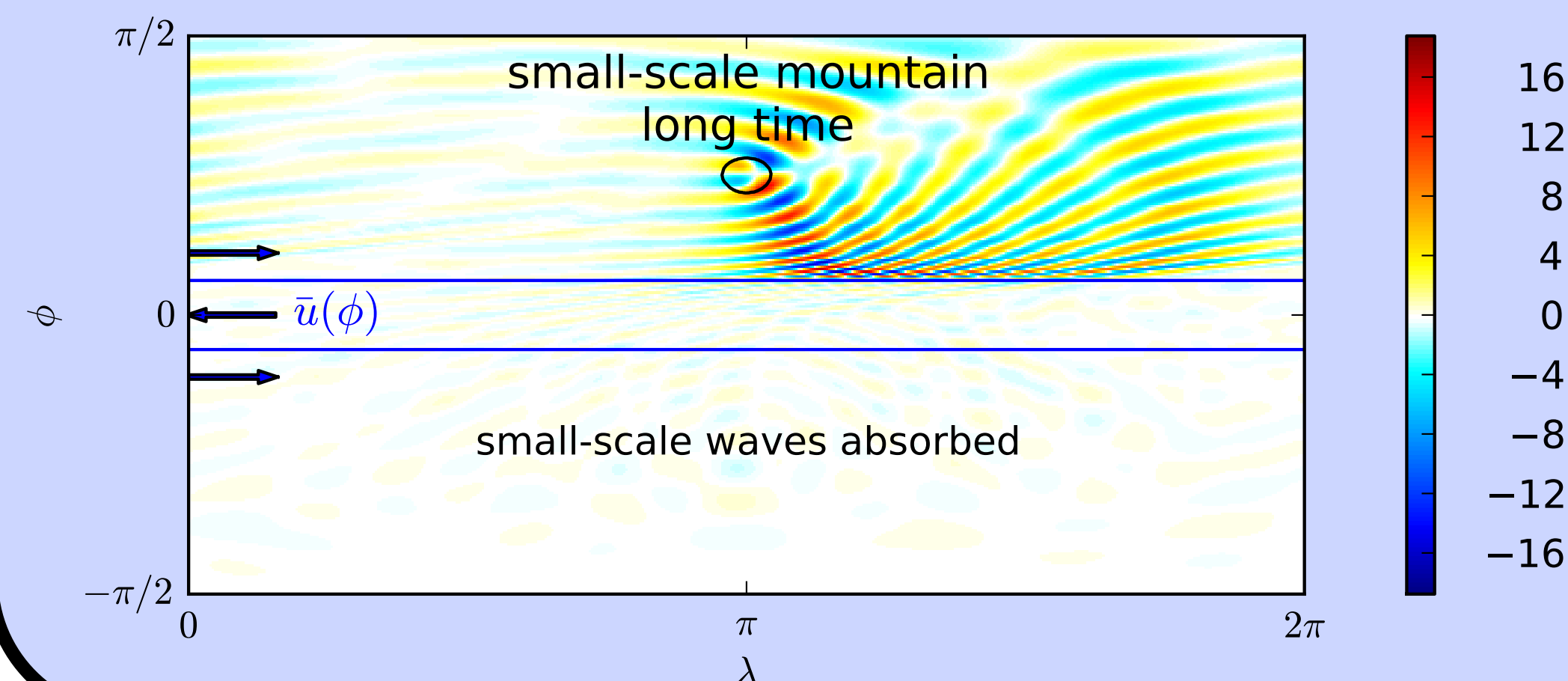
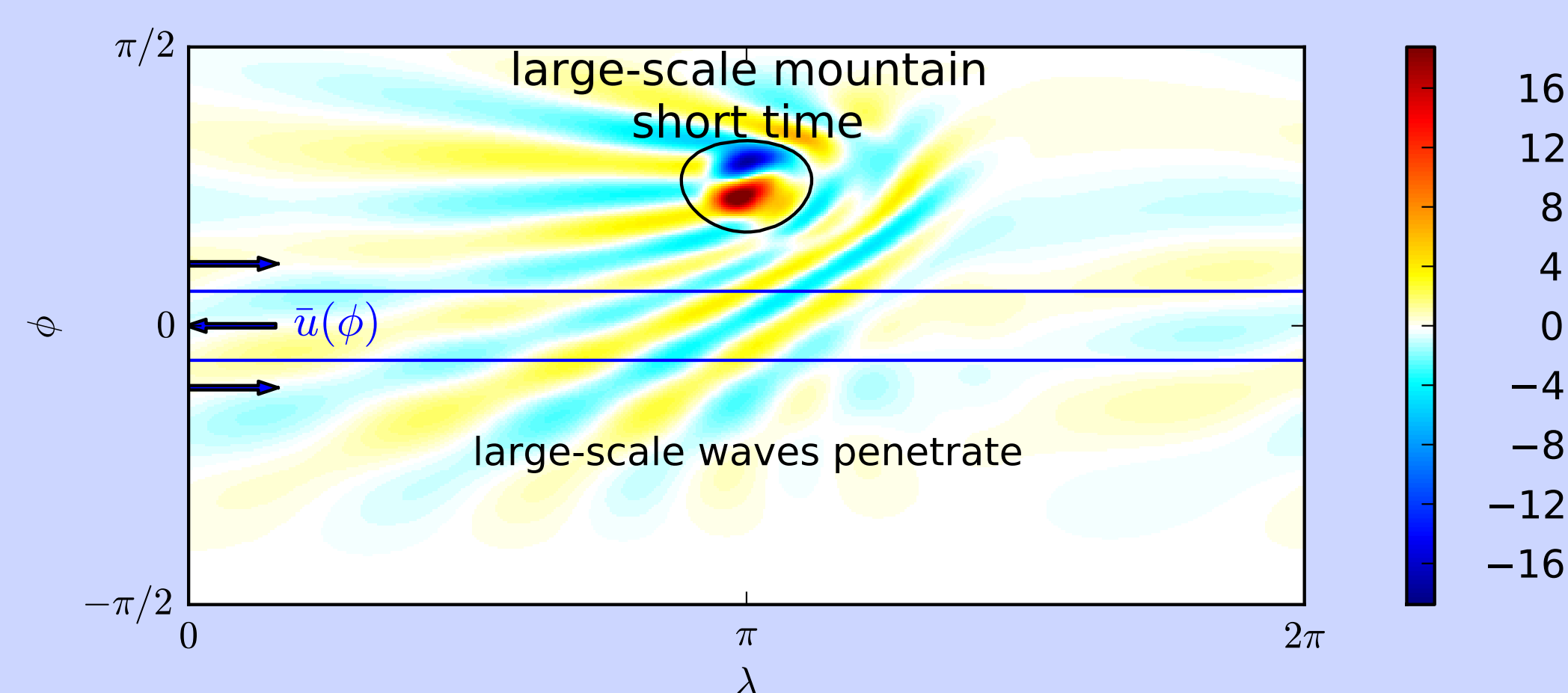
$$im(y - c) \nabla^2 \hat{\Psi}(y) e^{im(x-ct)} = 0$$

$$\nabla^2 \hat{\Psi}(y) e^{im(x-ct)} = \delta(y - c)$$

- $c \in [0, 1]$ with critical points $y_c = c$

Motivation: waves at equator?

- "What waves can penetrate the tradewinds?"
- East-West velocity u for large- and small-scale linear topographic waves



RSW on sphere [7]

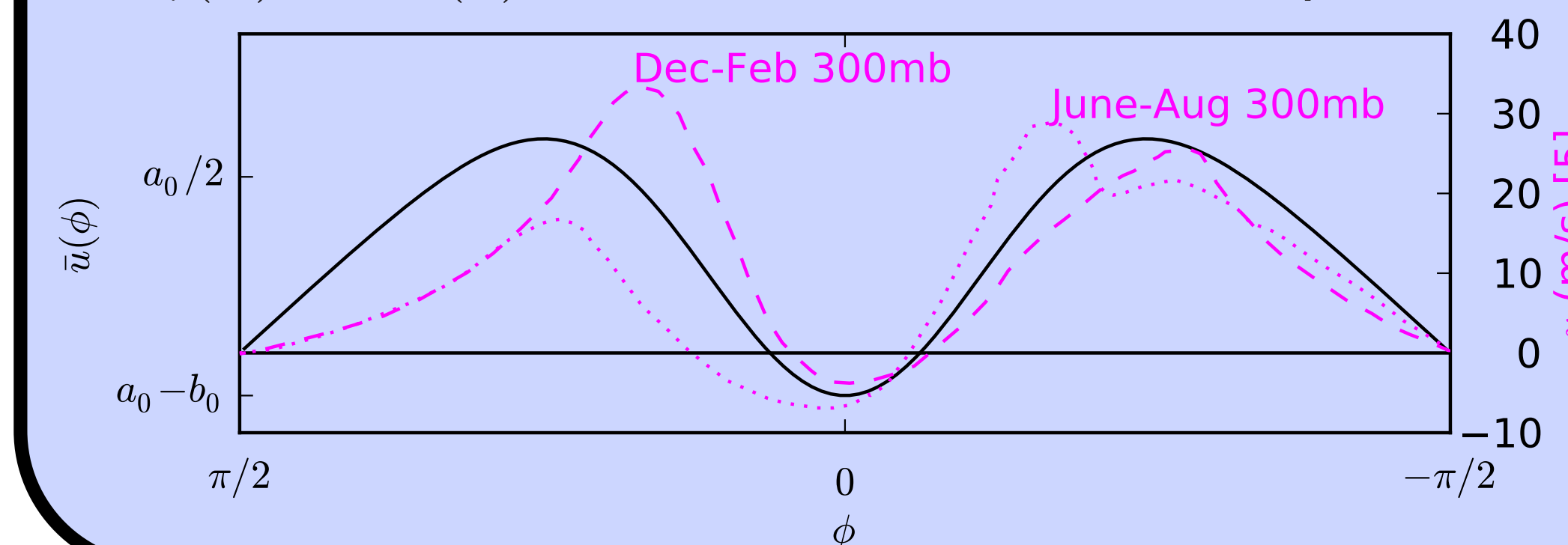
- Longitude λ , latitude ϕ , time t
- Wind tangent to sphere surface
- Disturbance height $\eta(\lambda, \phi, t)$
- Rossby number $\epsilon \rightarrow 0$ for asymptotic limit
- Momentum and height equations

$$\epsilon^2 \frac{D\vec{u}}{Dt} - \sin \phi \hat{r} \times \vec{u} + \epsilon \nabla \eta = 0$$

$$\epsilon^2 \left(\frac{D\eta}{Dt} + \eta(\nabla \cdot \vec{u}) \right) + \epsilon \nabla \cdot \vec{u} = 0$$

Background flow

- Latitude-dependent East-West flow
- Midlatitude jet and equatorial tradewinds
- $\epsilon \bar{\eta}(\phi) = \mathcal{O}(1)$ from RSW momentum equation



RSW eigenvalue problem with background flow

- Background flow plus travelling wave perturbation with phase speed c and wavenumber m

$$\begin{bmatrix} u(\lambda, \phi, t) \\ v(\lambda, \phi, t) \\ \eta(\lambda, \phi, t) \end{bmatrix} = \begin{bmatrix} \bar{u}(\phi) \\ 0 \\ \bar{\eta}(\phi) \end{bmatrix} + \begin{bmatrix} \hat{u}(\phi) \\ \hat{v}(\phi) \\ \hat{\eta}(\phi) \end{bmatrix} e^{im(\lambda-ct)/\epsilon}$$

- Linearise in $\hat{u}, \hat{v}, \hat{\eta}$ to obtain an eigenvalue problem in c , which is solved using an FFT method

$$\begin{bmatrix} \epsilon im \left(\frac{\bar{u}}{\cos \phi} - c \right) & -\sin \phi + \epsilon^2 \frac{1}{\cos \phi} (\cos \phi \bar{u})_\phi & \frac{1}{\cos \phi} im \\ \sin \phi + \epsilon^2 2 \tan \phi \bar{u} & \epsilon im \left(\frac{\bar{u}}{\cos \phi} - c \right) & \epsilon \partial_\phi \\ \frac{1}{\cos \phi} im(1 + \epsilon \bar{\eta}) & \epsilon \frac{1}{\cos \phi} \partial_\phi \cos \phi (1 + \epsilon \bar{\eta}) & \epsilon im \left(\frac{\bar{u}}{\cos \phi} - c \right) \end{bmatrix} \begin{bmatrix} \hat{u}(\phi) \\ \hat{v}(\phi) \\ \hat{\eta}(\phi) \end{bmatrix} = 0$$

- Discrete spectrum (enumerated by n) with no critical latitudes $\Delta_n \equiv \left(\frac{\bar{u}(\phi)}{\cos \phi} \right)_{\min} - c_n > 0$
- Literature suggests finite number of discrete modes (maximum n) [3, 6]
- Continuous spectrum with critical latitudes $\frac{\bar{u}(\phi_c)}{\cos \phi_c} - c = 0$ associated with equatorial "absorption" [1]

WKB analysis

- Amplitude and fast phase scales

$$\begin{bmatrix} \hat{u}(\phi) \\ \hat{v}(\phi) \\ \hat{\eta}(\phi) \end{bmatrix} = \begin{bmatrix} U(\phi) \\ V(\phi) \\ H(\phi) \end{bmatrix} e^{i\rho(\phi)/\epsilon}$$

- $\mathcal{O}(1)$: amplitude null vector with coefficient $A(\phi)$

$$\begin{bmatrix} U \\ V \\ H \end{bmatrix} = A(\phi) \begin{bmatrix} \rho' \\ \frac{m}{\cos \phi} \\ -\sin \phi \end{bmatrix}$$

- $\mathcal{O}(\epsilon)$: solvability \Rightarrow eikonal eq. for phase

$$\left(\frac{\bar{u}}{\cos \phi} - c \right) \left((\rho')^2 + \frac{m^2}{\cos^2 \phi} + \frac{\sin^2 \phi}{1 + \epsilon \bar{\eta}} \right) - \frac{1 + \epsilon \bar{\eta}}{\cos \phi} \left(\frac{\sin \phi}{1 + \epsilon \bar{\eta}} \right)_\phi = 0$$

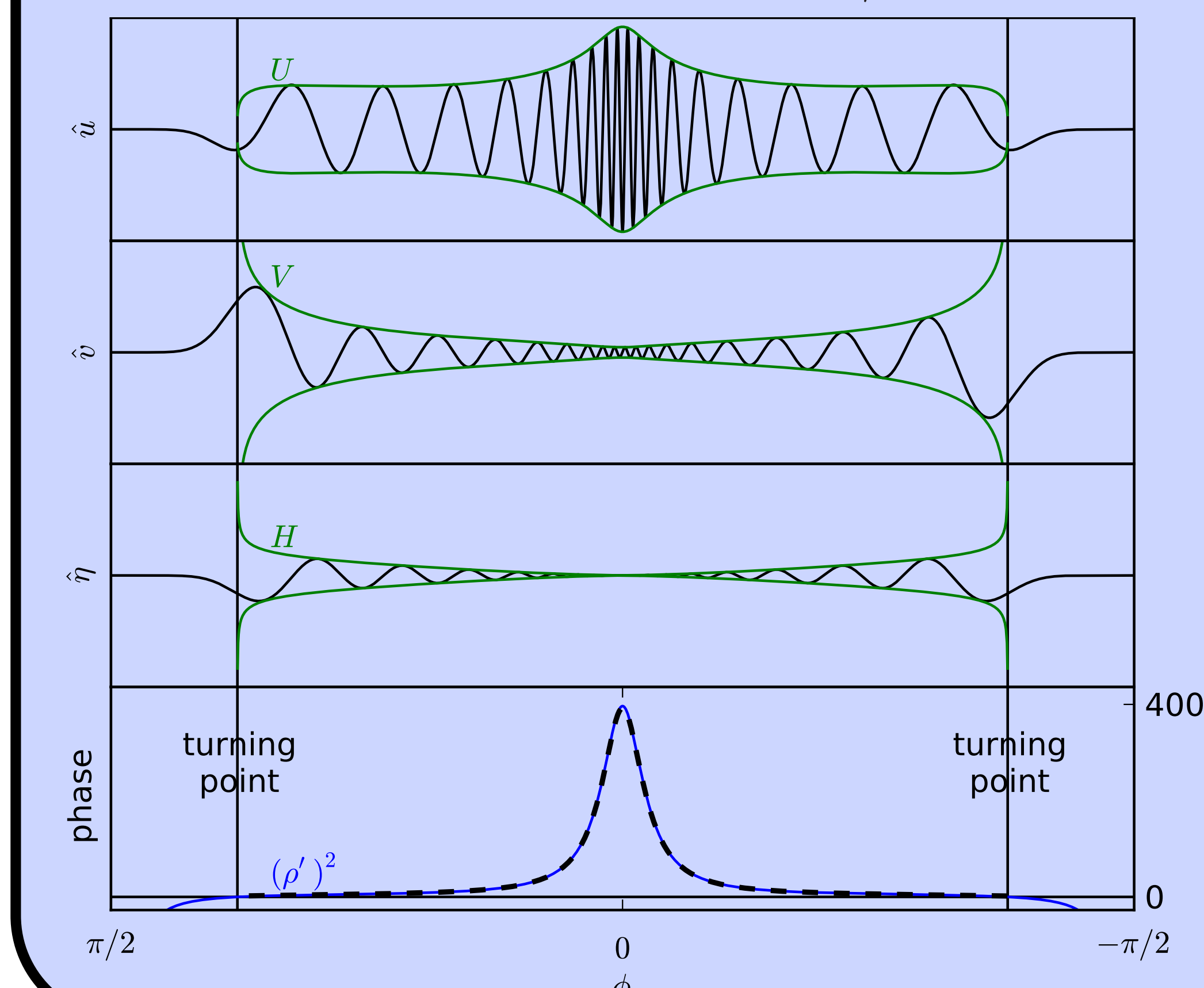
- eikonal equation has parallel with Couette

- $\mathcal{O}(\epsilon^2)$: solvability \Rightarrow transport eq. for $A(\phi)$

$$A(\phi) = \frac{\exp \left(-\frac{1}{2} \int \frac{(\cos \phi \bar{u})_\phi + 2 \sin \phi \bar{u}}{\bar{u} - c \cos \phi} d\phi \right)}{\sqrt{\rho' (\bar{u} - c \cos \phi) (1 + \epsilon \bar{\eta})}}$$

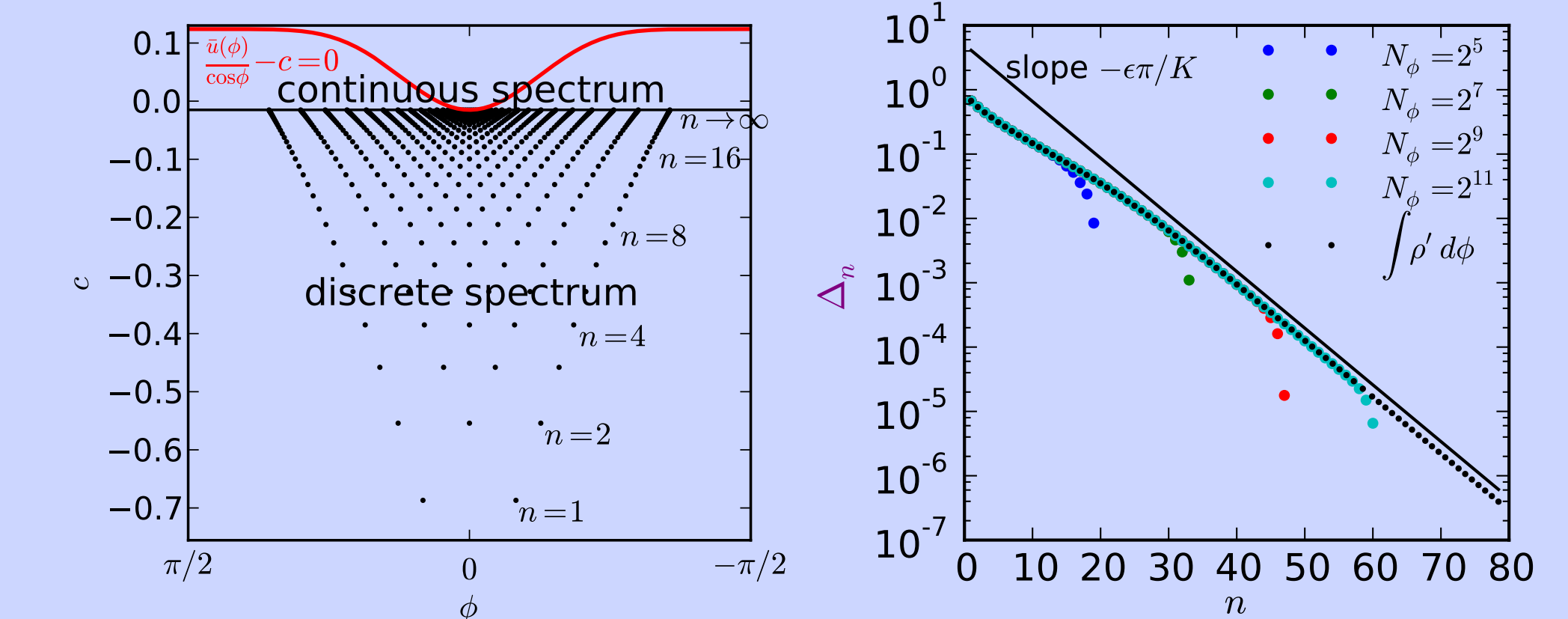
WKB eigenfunction verification

- Compare WKB phase and amplitude to computed eigenfunctions (black)
- $\epsilon = 0.1, a_0 = 0.124, b_0 = 0.139, m/\epsilon = 10, n = 35$



Discrete spectrum accumulation

- Zerocrossings of the eigenfunctions at $m/\epsilon = 10$



- Quantization of phase

$$\epsilon \pi (n + 1/2) = \int \rho' d\phi$$

- Exponential accumulation in n

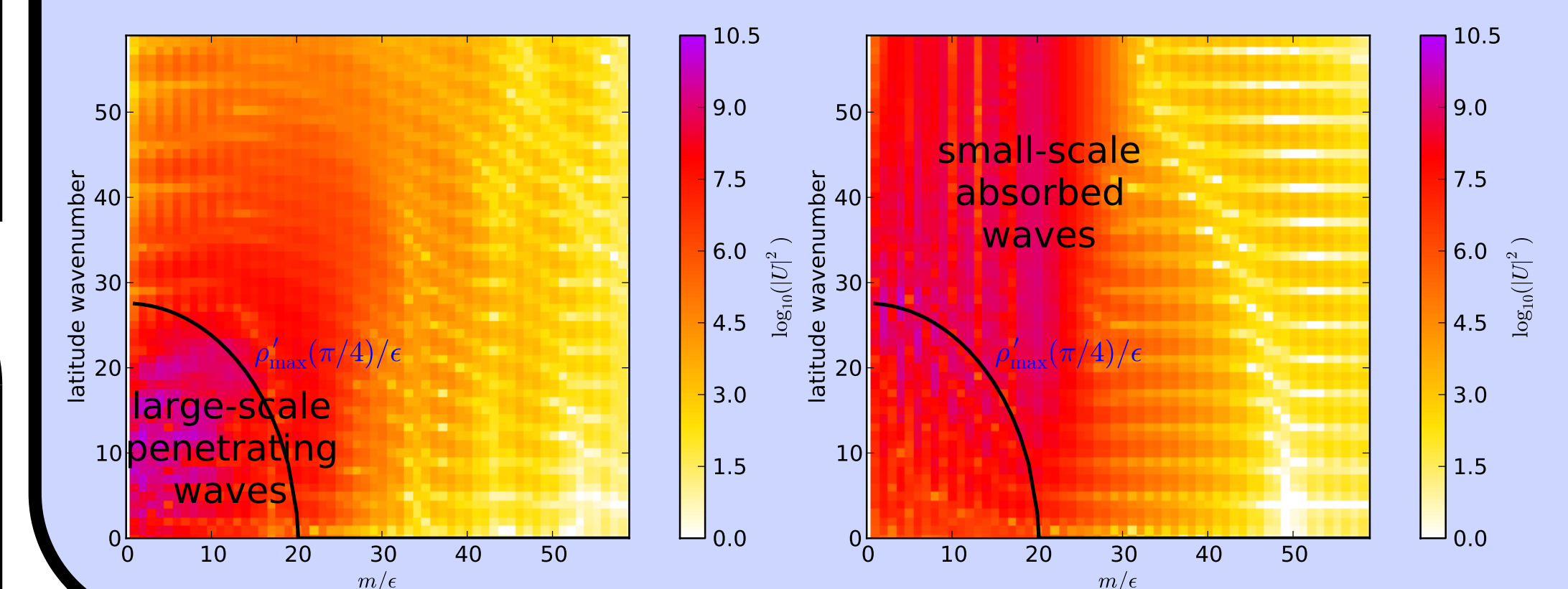
$$\Delta_n \sim \exp(-\epsilon \pi K n) \quad K \equiv \sqrt{\frac{1}{2} \left(\frac{\bar{u}}{\cos \phi} \right)''}_{\min}$$

- Phase speed accumulation point ($\Delta_n \rightarrow 0^+$)

$$c_n \rightarrow \left(\frac{\bar{u}(\phi)}{\cos \phi} \right)_{\min} \quad \text{as } n \rightarrow \infty$$

Penetration by large-scale waves

- FFT of large- and small-scale waves
- Tradewind transparency cutoff $\rho'_{\max}(\pi/4)$
- Scales smaller than cutoff are in continuous spectrum (equatorial absorption)



Conclusions

- Infinite number of discrete spectrum modes
- Long waves in midlatitudes can cross equator
- Use WKB to compute transparency cutoff

References

- J. R. Bennet, J. A. Young, 1971, *The influence of latitudinal wind shear upon large-scale propagation into the tropics*, Mon. Weath. Rev., 99, 202.
- K. M. Case, 1960, *Stability of inviscid plane Couette flow*, Phys. Fluids, 3, 143.
- L. A. Dikiy, V. V. Katayev, 1971, *Calculation of the planetary wave spectrum by the Galerkin method*, Izvestiya Atm. Oc. Phys., 7, 1031.
- B. F. Farrell, 1982, *Initial growth and disturbances in a baroclinic flow*, J. Atm. Sci., 39, 1663.
- W. L. Grose, B. J. Hoskins, 1979, *On the influence of orography on large-scale Atmospheric Flow*, J. Atm. Sci., 36, 223.
- A. Kasahara 1980, *Effect of zonal flows on the free oscillations of a barotropic atmosphere* J. Atm. Sci., 37, 917.
- G. K. Vallis, 2006, *Atmospheric and Oceanic Fluid Dynamics*, Cambridge.